People Committee – for assurance

Meeting agenda title: Deep dive: leadership development Meeting date: 4 May 2023 Time required: 20 minutes

Presenter: Sarah Lal

1. Summary

1.1. This report sets out our approach to mitigating our senior leadership resilience risk, and increasing our leadership capability across the ICO to support the delivery of ICO25. The leadership development offer must enable our high performance strategy objectives and create clarity on what is expected of all staff.

Author: Caroline Simmons

Outcome reached:



Leadership Development Programme to support ICO25

People Committee 4 May 2023

Can be published internally and externally



Objective:

- To outline our Leadership Development Proposition that will support people managers and senior leaders to deliver ICO25 and the High Performance Strategy.
- To increase the awareness of all people, about what is expected of our senior leaders, our people managers and ourselves at the ICO.
- To articulate the leadership development support we have now and what changes we will make to achieve greater impact.
- To be clear about the capabilities and behaviours we need to have as people managers, and senior leaders, at the ICO.





Leadership context

The ICO has grown significantly over the last few years, and based on our definition of leader as anyone in Level E or above, we now have 564 leaders, which is over half of our total staff.

As we deliver ICO25, embed our organisational design and high performance strategy, people managers and senior leaders will become increasingly important in their roles to support teams and provide clarity of our ICO purpose.

We know in order to achieve our ICO25 goals, our teams need great people managers and the organisation needs senior leaders with increased leadership capability, and awareness of what is expected of them.

We have an **open** risk appetite when it comes to empowering and supporting our staff to perform in their role. This means we are prepared to consider a number of approaches, even where there are elevated levels of associated risk, and will choose the option which provides a high probability of productive outcomes and benefits





Current Leadership Position

Leaders (Level E and above)	564 (51% of total staff)
People Managers	262
Level E	273
Level F	194
Level G	57
Level G2	23
Level H	6





Leadership Development on offer currently

Aspiring Leaders Programme	Management and Leadership Apprenticeships	Leadership Squares – networking groups
Leadership Masterclasses	HR Essentials	Leadership and Management Workshops/ Training Programmes
Bespoke Leadership Development Team Programmes	360 Feedback Assessment	Coaching and Mentoring





Our definition of leadership is based on levels/hierachy, and not on role requirements or those who manage people.

Take up – average 1/5 of leadership population per intervention

Risks

Engages same people – not necessarily those who need it

Large population to target – and growing

We would need to review our people processes and pay progression system to ensure they align with our position on leadership



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Current Leadership Proposition

Leaders are defined by levels There is no mandatory requirement to develop Leadership development programmes are extensive, but not strategic and targeted



Our new Leadership Proposition



(Heads of Dept, and People Managers)

> Leading self (All people)





Leadership Proposition

Clarity is provided on the levels of responsibility Transparency is provided on what is expected from all our people, with a requirement to develop Leadership development programmes become strategic and targeted, and prepare for professions



The new Leadership Proposal

Support those Leading Teams to develop high performing teams, and an inclusive culture Set expectations for Leading and Developing ourselves through our overarching ICO Behavioural Framework

Update core content to reflect the need for all of us to do things differently to achieve ICO25

Make core content mandatory, embedding good practice for all

Outline Leadership Styles and Climate Assessments to embed coaching, and support the conditions that create high performance

Create specific initiatives for those Leading the ICO



Leading the ICO – Grade G2/H

Introduction

Psychometric

- Leadership styles and climates report
- TMP for new hires

Azure Accelerate Programme

- Core purpose
- One Compelling Voice
- Team and Self Awareness
- Clear roles and expectations
- Openness and feedback
- Regular reviews
- Agreement of engagement principles
- Understanding of preferences and strengths

Flexible content

As required

- EDI training with EY
- Report writing training
- Drafting for senior leaders
- Leading business
 transformation
- Broadband communication
- Creating culture
- Building organisational resilience
- Authentic leadership

Giving back

Leadership masterclass

Leading a masterclass

icon Information Commissioner's Office Required	Leading Teams – Flexible content	Grade E-G Networking	Giving back
Leadership at the ICOThe ICO Leader	 Blended learning Critical conversations Developing others 	Leadership squares• Networking with three other	Coaching Expectation of coaching others for more senior
Inclusive Leadership	 Motivation Delegation Dealing With Conflict High performance 	managers	leaders
 People Management Managing probation Managing performance Managing absence Effective PDR's Career Band Progression Interview and Selection 	 Planning & organisation Matrix management Agile leadership Leading across boundaries 	Coaching sets Coaching, and being coached, with one or two managers 	 Mentoring Expectation of becoming a mentor and mentoring others

Coaching as a leadership style

Feedback

• Coaching using GROW



We recognise that all people have a responsibility for leading self and they are supported with this through our behavioural framework, our core learning offer and, as they develop, the professions.





Career Development Programmes

Future Leaders

Workshops to include:

- Personal brand
- Interpersonal Skills
- Intrapersonal Skills
- Technical Skills

Support:

- Check-ins with learning team
- Part of yammer community
- Assigned a coach or mentor
- Yammer Community

Leader to Head of

- Bridging the gap
- Access to Level 7
 Apprenticeship
- Succession planning
- Hay Group Leadership Style
 and Climate
- Assigned a coach or mentor
- Business Improvement project – SLT sponsor

Head of to Director

- Bridging the gap
- Access to Level 7 Apprenticeship
- Succession planning
- Hay Group Leadership Style and Climate
- Assigned a coach or mentor
- Business Improvement project – ELT sponsor



Outcomes for greater impact

We will reduce the likelihood of our senior leadership resilience risk impacting change

The programmes on offer will focus on people management capability

Core elements are mandatory for people managers and senior leaders

An engagement and climate tool is used to drive the right leadership culture and monitor progress





Risks and Mitigation of the new proposition

Risk	Mitigation
Focusing on People Managers may demotivate technical professionals who don't manage people, but are delivery managers or Product Owners through matrix working.	Provide clarity about how other development needs will be met through our Behavioural Framework, and outline the role and expectations of delivery managers and Product Owners.
Size of people manager population means it will take time to design and deliver all required resources	Work will be prioritised on areas that will have the biggest impact
Time taken to procure the Leadership and Climate tool's, as well as the people survey results.	Work with procurement to explore how we can do this in a timely manner
Head of service cohort responsible for teams and departments will not be explicitly exposed to senior leadership programmes	Our succession plans, and a career development offer will reduce this risk



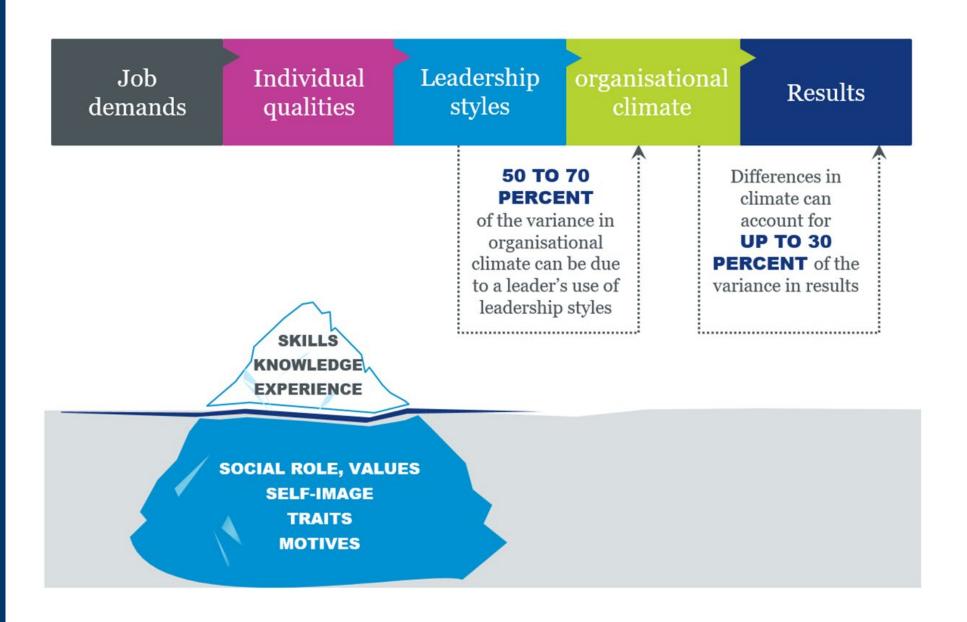


Appendix

Leadership styles and climate tool



Leadership Styles and Climate Assessment





Leadership Styles



Participative

Primary objective Building commitment and generating new ideas

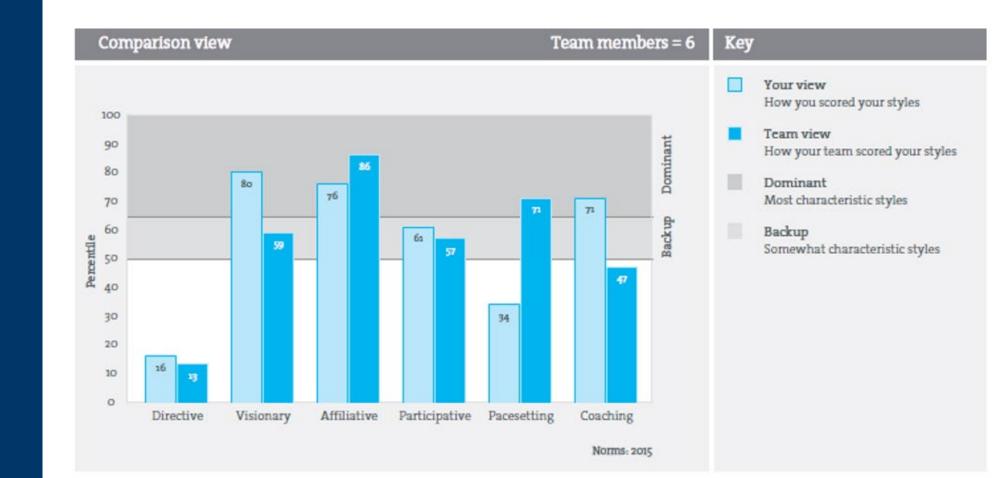
Pacesetting

Primary objective Accomplishing tasks to high standards of excellence *Primary objective* Long term professional development of employees

Coaching



Leadership Styles Report





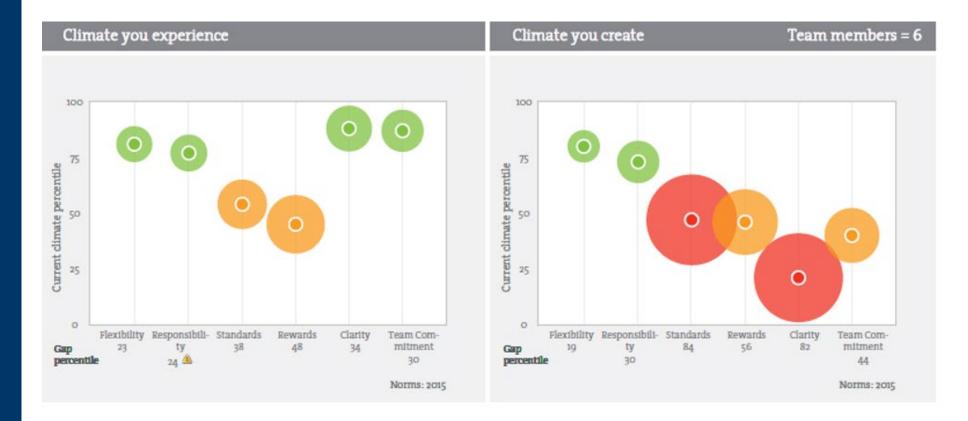
Climate Dimensions

TEAM MEMBERS' PERCEPTIONS THAT:

FLEXIBILITY There are no unnecessary rules, procedures, policies or practices	RESPONSIBILITY They are given authority to accomplish tasks without having to constantly check for approval	STANDARDS Challenging but attainable goals are set for the team and its employees
REWARDS They are recognised and rewarded for good performance	CLARITY Everyone in the team knows what is expected of them	TEAM COMMITMENT People are proud to belong to the team



Climate Dimensions Report



ICO Behavioural Framework

The purpose of the ICO behavioural framework is to help people live the values in their day-to-day work. It gives examples of what living the values looks like at each level of the organisation.

It will be used to:

Bring the values to life in PDR and 1-1 discussions

Support recruitment and selection

Guide learning and development support

Some things to note:

The indicators aren't a tick box – you don't need to example each indicator to be living the value. The indicators are there as a guide to help you have meaningful discussions. Be mindful of individual characteristics that may affect behaviour at work.

The behaviours apply to all job roles, as they support the organisational values.

Unhelpful behaviours are there to support development needs.

Levels are cumulative; so, someone at level three would also be expected to display behaviours at levels one and two.

You may display some behaviours at a level above your job grade, and this can be used to explore career progression or as evidence in a career banding discussion.

Curious

We believe in continuous learning, empowering our teams to experiment and innovate and are eager for new or different perspectives to inform our work.

We are curious enough to consider new ideas and agile enough to explore them effectively.

We are curious, empathetic and actively interested in understanding all perspectives. We particularly use this to make our expectations of those we regulate as simple as possible to implement.

We regularly ask ourselves why, and why not, and seek creative opportunities and solutions to both recurring and new situations.

We challenge each other constructively, supporting each other to find the best outcome.

Grades B-D	Grades E-F	Grades G, G2, H	Unhelpful Behaviours
Is aware of their own	Listens to the views of	Acts as a role model and	Is not willing to listen/
capabilities and areas for development	peers and team members, raising issues with senior	pro-actively encourages continuous improvement	seek or accept feedback
Seeks and acts on	management and giving	and commitment to	Displays no curiosity
feedback from others to	feedback in an appropriate	personal development	about finding out how
determine areas in which	and timely fashion		things are done
improvements can be		Develops a culture that	
made	Asks deep, probing questions which get to the	encourages staff development and personal	Does not seek additional information about a
Asks key questions to clarify situations	root of a problem or issue	growth	situation, other than what has been given

Collects facts to confirm the whole picture	Picks up on the need to change personal, interpersonal and	Seeks out and participates in continuing professional development activities	Makes little effort to keep professionally up to date Is reluctant to try
Regularly shares expertise with other team members to support their knowledge and skills Thinks outside the box to come up with alternative approaches	managerial behaviour quickly in response to changing demands on self and role Gets involved in activities that will challenge and stretch current skills Recommends or provides opportunities for growth and development Encourages idea generation from others	that benefit personal and organisational objectives Develops and initiates career and succession planning to build and sustain long term capability across the business	anything new Keeps knowledge to self rather than sharing it with others to help them develop Fails to recognise and nurture talent within the team Doesn't follow up to embed learning back in the workplace

Collaborative

We work together in ways which enable us to prioritise, support our agility and our collective and individual high performance. This enables us to successfully execute our plans by responding to emerging risks and opportunities at pace but without sacrificing our high standards.

We move fast together so we can fix things in ways which are timely and relevant for our customers, stakeholders and colleagues.

We experiment together, learn and continuously improve. If it doesn't work, we learn from it quickly and make changes.

Grades B-D	Grades E-F	Grades G, G2, H	Unhelpful Behaviours
Is seen as a good team	Coaches others, to support	Pro-actively seeks long-	Does not appreciate the
player, helping colleagues	continuous improvement	term strategic	benefits of collaborative
under pressure		partnerships	working
	Develops informal		
Is approachable and	partnerships with others	Role models strategic	Has no developed
receptive of the views and	internally and externally,	team-working	networks or relationships
input of others	for business benefit	Creates alliances and	across teams
Works collaboratively with	Seeks different	partnerships through	Closed to different
others, regardless of	perspectives	industry/ sector wide	perspectives and
functional boundaries		networking	proposals for collaborative
	Sets up and champions	heewonking	working
Initiates contact with	cross functional working	Shares scarce resources/	
others they don't know, to	initiatives	talent with colleagues to	Spends limited time
build relationships		ensure maximum	building networks and has
	Builds commitment from	organisational benefit	a narrow range of contacts
	others to work together to		
	deliver agreed outcomes		

ICO Behavioural Framework in draft v 0.4 Feb 2023

Moves quickly to resolve	
issues between teams	

Impactful

We thrive on delivering at pace and with impact, by being selective to be effective. This will help us to ensure our important work makes a material difference. We take pride in our high performance.

We set clear objectives and make timely, informed decisions, using evidence and insight. We also measure and evaluate our work.

We achieve high performance by empowering people to take personal ownership and accountability. We learn from our mistakes, continuously develop and celebrate our successes.

Grades B-D	Grades E-F	Grades G, G2, H	Unhelpful Behaviours
Works to improve own	Makes timely decisions	Sets clear direction	Does things as they've
efficiency through time-	Creates changes in		always been done
management techniques	systems or work methods	Anticipates changes in the	unquestioningly, instead
and good personal work	to improve own or team	operating environment	of suggesting new ways of
methods	performance	when planning for the	working
Adapts to changing		future	
priorities	Is transparent in the way		Works in silos, with
	work is prioritised	Builds an agile work force	limited understanding of
Monitors and checks own		that can respond quickly	shared objectives
progress and performance	Helps others to prioritise	to changing priorities	
on a regular basis			Struggles with changing
	Drives a high-performance	Looks for opportunities to	priorities
	culture through the	transform business or	

ICO Behavioural Framework in draft v 0.4 Feb 2023

Delivers what is required to get the job done to standard and on time	effective setting and monitoring of objectives	process areas in order to improve performance	Makes poor progress in working towards personal targets and objectives
Is proactive in asking for support to help get the job done	Constructively challenges poor performance or inappropriate behaviour in others	Works quickly to resolve blockers to progress	Lets problems get worse, rather than reflect and refocus
			Focuses efforts on areas which are less important than delivery of key objectives

Respect, equality, diversity and inclusivity

We embrace inclusive ways of working. We respect each other and our stakeholders, customers and colleagues and treat all with dignity.

We want diverse teams that are filled with talent and reflect our society, so our organisation can thrive and perform at our very best.

We are curious to understand all perspectives, recognising the value that they bring. We actively encourage equality, diversity and inclusion in our working and thinking

Grades B-D	Grades E-F	Grades G, G2, H	Unhelpful Behaviours
Respects a range of	Seeks a diverse range of	Ensures that all	Does not listen to and
different perspectives,	opinions to inform work	perspectives inform	consider the views of
values and beliefs		decision making and	others
	Challenges their own	communicates the reasons	
Provides feedback and	thinking and the thinking	behind decisions in a way	Inconsiderate of others
constructive challenge to	of others	that's clear and compelling	point of view
support change	Promotes a culture that	Translates the EDI	Reluctant to work with
	values diversity and	commitment into action,	individuals with different
Adapts style and approach according to the needs of	encourages challenge	through all areas of work	backgrounds
the people they're working	Shows how our thinking is	Champions diversity in	Doesn't think through the
with	informed by the views of	practical ways –	impact of decisions on
	customers and	appreciates and looks for	customers or stakeholders
Able to see things from a	stakeholders	benefits of differences	
variety of perspectives,		between people	Recognises negative
using this knowledge to	Proactively addresses EDI		impact of decisions on
challenge own thinking,	related issues and non-	Understands the issues	customers or
values and assumptions	inclusive behaviours	and challenges customers	stakeholders, but doesn't
		and stakeholders are	react accordingly
		facing and uses this	
		knowledge to drive the	
		work we do	
		Considers how to reach	
		communities of previously	
		unmet needs	